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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                             |                               |            |
|---|-----------------------------|-------------------------------|------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/750,629                    |            |
|   | <b>Filing Date</b>          | 12/28/2000                    |            |
|   | <b>First Named Inventor</b> | Wang et al.                   |            |
|   | <b>Group Art Unit</b>       | 2182                          |            |
|   | <b>Examiner Name</b>        | unknown                       |            |
| <b>Total Number of Pages in This Submission</b>   | 4                           | <b>Attorney Docket Number</b> | 00CXT0785N |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <b>Remarks</b>  |   |  |
| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>   |   |  |
| <b>Firm or Individual name</b>  | Eugene G. Kim, Reg. 46,267  |  |
| <b>Signature</b>  |   |  |
| <b>Date</b>   | April 27, 2001  |  |

| CERTIFICATE OF MAILING   |                   |             |         |
|--|-------------------|-------------|---------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 4/27/01 |                   |             |         |
| <b>Typed or printed name</b>   | Laura S. Mellblom |             |         |
| <b>Signature</b>   |                   | <b>Date</b> | 4/27/01 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130

## Complete if Known

Application Number 09/750,629  
Filing Date 12/28/2000  
First Named Inventor Yuanlong Wang  
Examiner Name unknown  
Group / Art Unit 2182  
Attorney Docket No. 00CXT0785N

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

03-1725

Deposit  
Account  
Name

Chrisman Bynum & Johnson, P. C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     |          |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |          |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |          |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |          |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$) 0

### 2. EXTRA CLAIM FEES

|                       |  |     |   |   |   |   |   |   |
|-----------------------|--|-----|---|---|---|---|---|---|
| Total Claims          |  | -20 | = | 0 | X | 9 | = | 0 |
| Independent<br>Claims |  | -3  | = | 0 | X |   | = | 0 |
| Multiple<br>Dependent |  |     |   |   | X |   | = | 0 |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claim, if not paid                      |
| 109                  | 80                    | 209                  | 40                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|-------------|
| 105                            | 130                            | 205                            | 65                             | Surcharge - late filing fee or oath  | 130.00      |
| 127                            | 50                             | 227                            | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139                            | 130                            | 139                            | 130                            | Non-English specification  |             |
| 147                            | 2,520                          | 147                            | 2,520                          | For filing a request for reexamination                                     |             |
| 112                            | 920*                           | 112                            | 920*                           | Requesting publication of SIR prior to Examiner action                     |             |
| 113                            | 1,840*                         | 113                            | 1,840*                         | Requesting publication of SIR after Examiner action                        |             |
| 115                            | 110                            | 215                            | 55                             | Extension for reply within first month                                     |             |
| 116                            | 390                            | 216                            | 195                            | Extension for reply within second month                                    |             |
| 117                            | 890                            | 217                            | 445                            | Extension for reply within third month                                     |             |
| 118                            | 1,390                          | 218                            | 695                            | Extension for reply within fourth month                                    |             |
| 128                            | 1,890                          | 228                            | 945                            | Extension for reply within fifth month                                     |             |
| 119                            | 310                            | 219                            | 155                            | Notice of Appeal   |             |
| 120                            | 310                            | 220                            | 155                            | Filing a brief in support of an appeal                                     |             |
| 121                            | 270                            | 221                            | 135                            | Request for oral hearing   |             |
| 138                            | 1,510                          | 138                            | 1,510                          | Petition to institute a public use proceeding                              |             |
| 140                            | 110                            | 240                            | 55                             | Petition to revive - unavoidable   |             |
| 141                            | 1,240                          | 241                            | 620                            | Petition to revive - unintentional   |             |
| 142                            | 1,240                          | 242                            | 620                            | Utility issue fee (or reissue)   |             |
| 143                            | 440                            | 243                            | 220                            | Design issue fee   |             |
| 144                            | 600                            | 244                            | 300                            | Plant issue fee  |             |
| 122                            | 130                            | 122                            | 130                            | Petitions to the Commissioner  |             |
| 123                            | 130                            | 123                            | 130                            | Petitions related to provisional applications                              |             |
| 126                            | 180                            | 126                            | 180                            | Submission of Information Disclosure Stmt                                  |             |
| 581                            | 40                             | 581                            | 40                             | Recording each patent assignment per property (times number of properties) |             |
| 146                            | 710                            | 246                            | 355                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149                            | 710                            | 249                            | 355                            | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179                            | 710                            | 279                            | 355                            | Request for Continued Examination (RCE)                                    |             |
| 169                            | 900                            | 169                            | 900                            | Request for expedited examination of a design application                  |             |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 130

## SUBMITTED BY

## Complete (if applicable)

|                   |               |                                  |        |           |                |
|-------------------|---------------|----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Eugene G. Kim | Registration No. Attorney/Agent) | 46,267 | Telephone | (303) 546-1300 |
| Signature         |               |                                  |        | Date      | 4/27/01        |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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H-A

# Section

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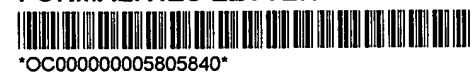
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/750,629         | 12/28/2000          | Yuanlong Wang         | 00CXT0785N             |

24283  
DUFT, GRAZIANO & FOREST, PC  
PO BOX 270930  
LOUISVILLE, CO 80027

CONFIRMATION NO. 7116

FORMALITIES LETTER



\*OC000000005805840\*

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2001 TGEDAHU1 00000070 09750629

01 FC:105

130.00 OP